

## CURRENT REPORT

Current Report according to:	Law 24/2017, FSA Regulation 5/2018
Report date:	09.10.2020
Name of the issuing entity:	Societatea de Investiții Financiare MUNTENIA SA
Registered office:	București, S.V. Rahmaninov Str, no.46-48, district 2
Phone/fax number:	021.387.3210 / 021.387.3209
Sole Registration Code:	3168735
Order number in the Trade Register:	J40/27499/1992
Subscribed and paid-up share capital:	78,464,521.10 lei
Regulated market on which issued securities are traded	Bucharest Stock Exchange

### Important event to be reported:

#### Litigation

SIF Muntenia SA, by its Investment Manager SAI Muntenia Investment SA, informs the investors that in 08.10.2020 was subpoenaed in the file no. 17684/3/2020 pending before the Bucharest County Court - Civil Section VI as defendant, the plaintiff being SIF Oltenia SA. In this case, together with SIF Muntenia SA, the following entities also have the quality of defendants: SIF Banat-Crișana SA; AIF Certinvest Actiuni, Certinvest FDI XT Index, FDI Certinvest BET-FI Index, through the representative SAI Certinvest SA; FII Optim Invest, FII BET-FI Index Invest, FDI Prosper Invest through representative SAI Broker SA; FII Active Plus, FDI Active Dinamic through the representative SAI Swiss Capital Asset Management SA; FII Star Value, FII Multicapital Invest, FDI Star Focus, FDI Star Next through the representative SAI Star Asset Management SA; AIF Romania Strategy Fund through the representative Accuro Fund Solutions AG, OPUS - Chartered Issuances SA.

The case file no. 17684/3/2020, which has as object the finding of the inclusion of the defendants in the provisions of art. 2 para. (1) point 30 of Law no. 24/2017, in the sense that they have the quality of persons acting in concert and the obligation of the defendants to make to SIF Oltenia SA and FSA the notification provided by the provisions of art. 69 para. (1) of Law no. 24/2017, is in the regularization procedure.

SIF MUNTENIA SA

Represented by its Asset Management Company  
SAI MUNTENIA INVEST SA

Mircea CONSTANTIN  
Strategy Director

Compliance Officer  
Claudia Jianu